

### 计算机网络与Internet

#### 徐恪 清华大学计算机系



### □ Internet简介

- □ 协议分层和OSI参考模型
- End-to-End Arguments
- □ Internet设计原则



#### □ Internet简介

□ 协议分层和OSI参考模型
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### The Internet

Global scale, general purpose, heterogeneoustechnologies, public, computer network

#### Internet Protocol

- Open standard: Internet Engineering Task Force (IETF) as standard body http://www.ietf.org
- Technical basis for other types of networks
  - Intranet: enterprise IP network

Developed by the research community



### 1969-2019



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#### 1961-1972: Early packet-switching principles

- 1961: Kleinrock queueing theory shows effectiveness of packet-switching
- 1964: Baran packetswitching in military nets
- 1967: ARPAnet conceived by Advanced Research Projects Agency -Larry Roberts
- 1969: first ARPAnet node operational

**1972**:

- ARPAnet demonstrated publicly
- NCP (Network Control Protocol) first hosthost protocol
- first e-mail program
- ARPAnet has 15 nodes

#### 1972-1980: Internetworking, new and proprietary nets

- 1970: ALOHAnet satellite network in Hawaii
- 1973: Metcalfe's PhD thesis proposes Ethernet
- 1974: Cerf and Kahn architecture for interconnecting networks
- late 70's: proprietary architectures: DECnet, SNA, XNA
- late 70's: switching fixed length packets (ATM precursor)
- 1979: ARPAnet has 200 nodes

#### <u>Cerf and Kahn's internetworking</u> <u>principles:</u>

- minimalism, autonomy
  - no internal changes required to interconnect networks
- best effort service model
- o stateless routers
- decentralized control

define today's Internet architecture

# *1980-1990: new protocols, a proliferation of networks*

- 1982: SMTP e-mail protocol defined
- □ 1983: deployment of TCP/IP
- □ 1983: DNS defined for name
  - to-IP-address translation
- □ 1985: FTP protocol defined
- □ 1988: TCP congestion control

- new national networks: Csnet, BITnet, NSFnet, Minitel
- 100,000 hosts connected to confederation of networks

# *1990, 2000's: commercialization, the Web, new apps*

- Early 1990's: ARPAnet decommissioned
- 1991: NSF lifts restrictions on commercial use of NSFnet (decommissioned, 1995)
- early 1990s: Web
  - hypertext [Bush 1945, Nelson 1960's]
  - HTML, HTTP: Berners-Lee
  - 1994: Mosaic, later Netscape
  - late 1990's: commercialization of the Web

#### Late 1990's - 2000's:

- more killer apps: instant messaging, peer2peer file sharing (e.g., BT, Napster)
- network security to forefront
- est. 50 million host, 100 million+ users
- backbone links running at Gbps

### Vannevar Bush and the Memex

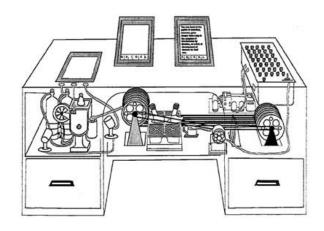
#### Vannevar Bush

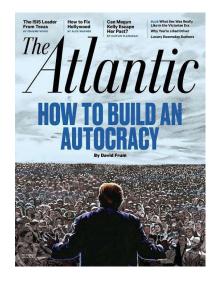
- □ 曼哈顿计划的发起者
- □ 发起建立NSF

#### Memex(Memory-Extender)

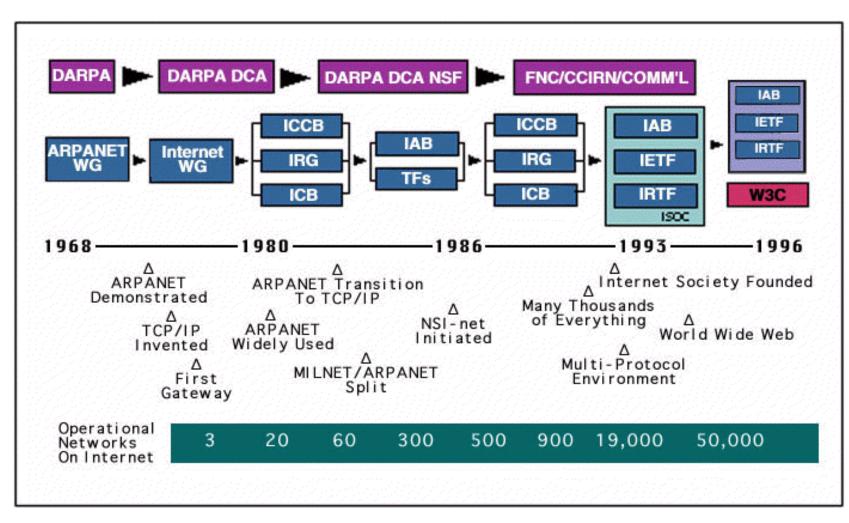
- 1945年, Vannevar Bush在《大西洋月刊》上 发表了一篇文章《As We May Think》,提出 一种信息机器的构想
- 机器内部用微缩胶卷存储信息,也就是自动翻拍,可以不断添加新的信息;桌面上有阅读 屏,用来放大阅读微缩胶卷;还有许多个按钮,每一个按钮代表一个主题,按一下,相应的微缩胶卷就会显示
- *读者可以建立指向某些微缩胶卷片段的链接, 并依照自己的喜好形成新的线性顺序*,甚至加 上自己的补充或评论。这些可以成为共享,他 人只要键入建立链接的作者的索引代码,就可 以追溯到这些关联







### Time Line of the Internet



• Source: Internet Society



### **多 互联网时代**

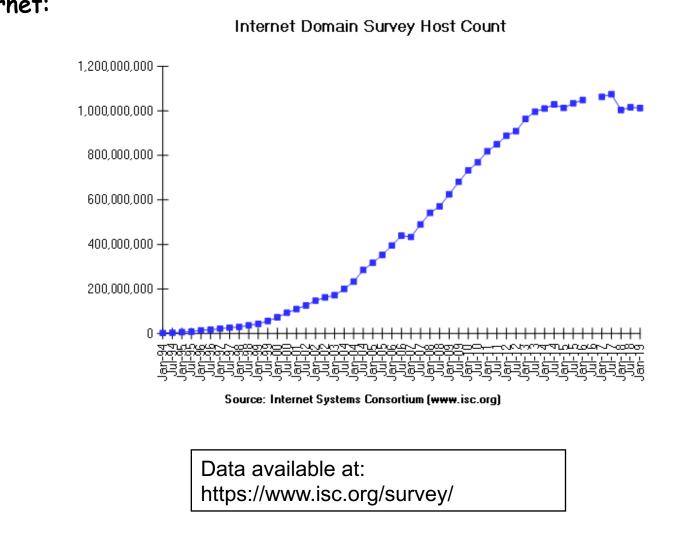
□中央电视台 □2014年上映 □共10集: ○时代、浪潮 ○能量、再构 ○崛起、迁徙 o控制、忧虑 ○世界、眺望



https://tv.sohu.com/s2014/hlwsd/

### Growth of the Internet

Number of	Hosts on the Internet:
Aug. 1981	213
Oct. 1984	1,024
Dec. 1987	28,174
Oct. 1990	313,000
Oct. 1993	2,056,000
Apr. 1995	5,706,000
Jan. 1997	16,146,000
Jan. 1999	56,218,000
Jan. 2001	109,374,000
Jan. 2003	171,638,297
Jan. 2006	394,991,609
July 2007	489,774,269
Jan. 2009	625,226,456
July 2009	681,064,561
July 2010	768,913,036
July 2011	849,869,781
Jan. 2012	888,239,420
July 2012	908,585,739
July 2013	996,230,757
July 2014	1,028,544,414
Jan. 2018	1,003,604,363

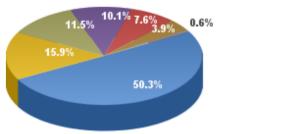




### Growth of the Internet

In 2020, Total Internet user reach 4.57 billion, Internet penetration rate reach 59.0%.

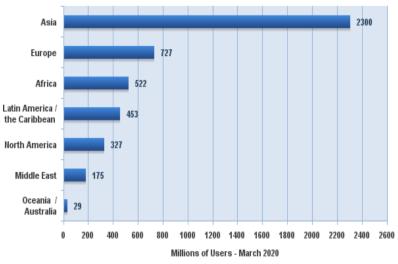
#### Internet Users Distribution in the World - 2020 Q1



Asia 50.3%
Europe 15.9%
Africa. 11.5%
Lat Am / Carib. 10.1%
North America 7.6%
Middle East 3.9%
Oceania / Australia 0.6%

Source: Internet World Stats - www.internetworldstats.com/stats.htm Basis: 4,574,150,134 Internet users in March 3, 2020 Copyright © 2020, Miniwatts Marketing Group

> Internet Users in the World by Geographic Regions - 2020 Q1



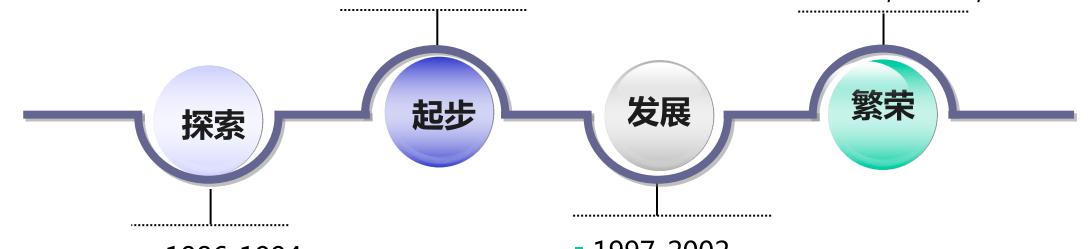
Source: Internet World Stats - www.internetworldstats.com/stats.htm Basis: 4,574,150,134 Internet users estimated in March 3, 2020 Copyright © 2020, Miniwatts Marketing Group



### 中国互联网发展历程

- 1994-1997
- 全功能接入Internet
  - 四大骨干网建设

- **2002**-
- WWW, P2P
- Social Networks
- Mobile, Cloud, IoT.....



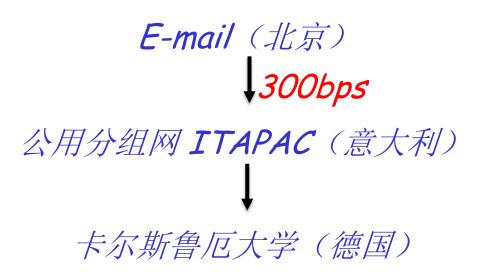
- 1986-1994
- X.25 + E-mail

- **1997-2002**
- 互联网开始普及
- 网络应用快速增长



□1987年9月14日(网民节), 钱天白教授在北京成功发出中国 第一封电子邮件: "Across the Great Wall we can reach every corner in the world", 揭开了中国人使用互联网的序幕

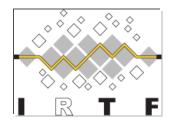














Internet Society (ISOC)

Internet Engineering Task Force (IETF)

Internet Research Task Force (IRTF)

Internet Architecture Board (IAB)

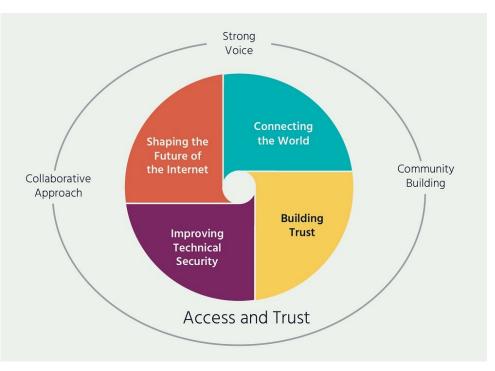
Internet Corporation for Assigned Names and Numbers (ICANN)

### Internet Society (ISOC)

#### https://www.internetsociety.org/

- ISOC is a professional membership society with 97 organization and over 73,000 individual members in over 180 countries
- •It provides leadership in addressing issues of the Internet, and is the organization home for the groups responsible for Internet infrastructure standards, including IETF and IAB







Q

Internet Way of Networking 18 September 2020



< Back

Internet Society: U.S. Administration ban of TikTok and WeChat is a direct attack on the Internet

The U.S. Administration's move to ban TikTok and WeChat for U.S. app stores is a direct attack on the Internet. It is an extreme measure that fundamentally undermines the foundation of the Internet. It's especially a threat <u>to the principles of openness and</u> accessibility as well as its decentralized management. **The Internet has no center. This type of top-down intervention is worrisome** 

#### Internet Hall of Fame

- Pioneers: design and development of the Internet with exceptional achievements
- Innovators: made outstanding technological, commercial, regulatory or policy advances and helped to expand the Internet's positive impact on the lives of others
- Global Connectors: who have made major contributions to the growth, connectivity, and use of the Internet





#### Internet Engineering Task Force (IETF)

- The IETF is the protocol engineering and development arm of the Internet
- Subdivided into many working groups, which specify Request For Comments or RFCs

#### IRTF (Internet Research Task Force)

• The Internet Research Task Force is composed of a number of focused, long-term and small Research Groups

#### Internet Architecture Board (IAB)

• The IAB is responsible for defining the overall architecture of the Internet, providing guidance and broad direction to the IETF

#### The Internet Engineering Steering Group (IESG)

The IESG is responsible for technical management of IETF activities and the Internet standards process
Composed of the Area Directors of the IETF working groups

- IETF and IESG Chair
   Alissa Cooper, Cisco
- Applications and Real-Time Area (art)
  - Barry Leiba, Futurewei
     Technologie
  - Murray Kucherawy, Facebook
- Internet Area (int)
  - Erik Kline, Google
  - Éric Vyncke, Cisco
- Operations and Management Area (ops)
  - o Warren Kumari, Google
  - Robert Wilton, Cisco

- Routing Area (rtg)
  - Deborah Brungard, AT&T
  - Alvaro Retana, Futurewei Technologies
  - Martin Vigoureux, Nokia
- Security Area (sec)
  - Roman Danyliw, CERT/SEI
  - o Benjamin Kaduk, Akamai Technologies
- Transport Area (tsv)
  - Martin Duke, F5 Networks
  - Magnus Westerlund, Ericsson

### Internet Standardization Process

 All standards of the Internet are published as RFC (Request for Comments). But not all RFCs are Internet Standards

oavailable: http://www.ietf.org

A typical (but not only) way of standardization is:

•BOF (Birds of a feather)

OInternet Drafts

ORFC

•Proposed Standard

ODraft Standard (requires 2 working implementation)

OInternet Standard (declared by IAB)



### **Internet Standardization Process**

• David Clark, MIT, 1992:

# We reject: kings, presidents, and voting. We believe in: rough consensus and running code.

- Internet Corporation for Assigned Names and Numbers (ICANN)
  - To reach another person on the Internet you have to type an address into your computer -- a name or a number
  - That address must be unique so computers know where to find each other
  - ○ICANN coordinates these unique identifiers across the world. Without that coordination, we wouldn't have one global Internet



## Services Provided by the Internet

- Shared access to computing
  - resources
    - Telnet (1970's)
- Shared access to data/files
  - oFTP, NFS, AFS (1980's)
- Communication medium over which people interact
  - Email (1980's), on-line chat rooms (1990's)
  - Instant messaging, IP Telephony (2000's)

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## Services Provided by the Internet

- A medium for information dissemination
   USENET (1980's)
   WWW (1990's)
  - Replacing newspaper, magazine?
  - •Audio, video (2000's)
    - Replacing radio, CD, TV...

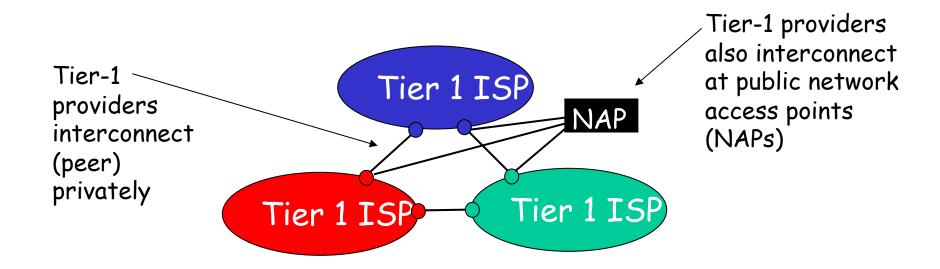
左伯副具八枚	~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~	2019年	2018年印刷量		
年印刷量分档	家数	亿对开印张	较上年±%	(亿对开印张)	
7亿印张以上	19	198.60	-12.96	228. 17	
4亿-7亿印张	18	<mark>99. 3</mark> 0	-9.96	110. 28	
2亿-4亿印张	28	76. 57	-11.25	86. 28	
1亿-2亿印张	29	42.06	-12.16	47.88	
1亿印张以下	54	26.66	-9.81	29. 56	
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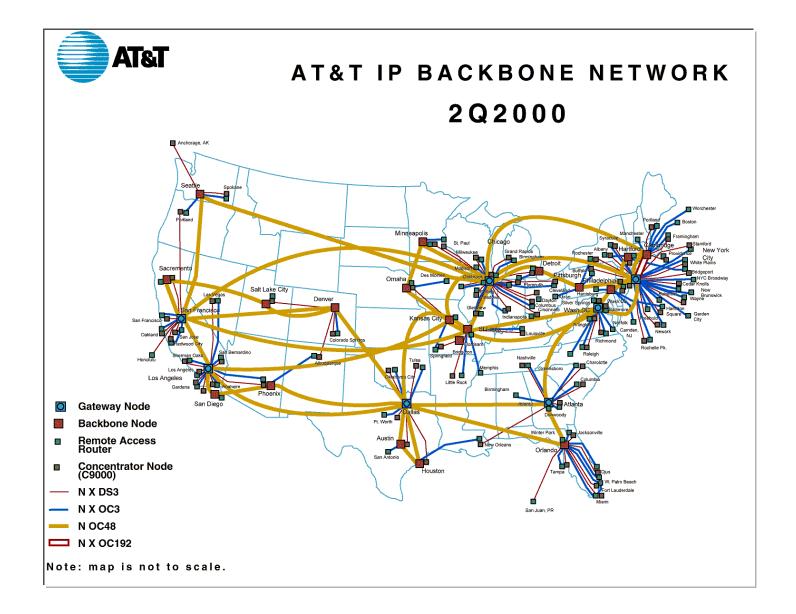


roughly hierarchical

- at center: "tier-1" ISPs (e.g., UUNet, BBN/Genuity, Sprint, AT&T), national/international coverage
  - treat each other as equals







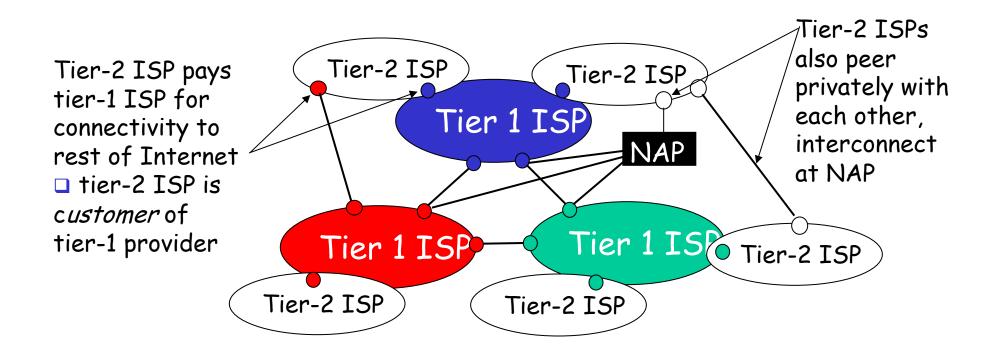


### Tier-1 ISPs

Name	AS number	September, 2007 degree <sup>[2][3]</sup>	Peering policy
Qwest	209	828	North America 🗗; International 🗗
Verizon Business	701	1452	Verizon UUNET Peering policy 701, 702, 703 🗗
Sprint	1239	880	
TeliaSonera International Carrier	1299		
NTT Communications	2914		
Tinet	3257		
Level 3 Communications (L3)	3356		
Global Crossing (GBLX)	3549	499	Global Crossing Peering policy (2003)
Savvis	3561		
AT&T	7018	1382	AT&T Peering policy
Tata Communications	6453		Peering Policy 🗗

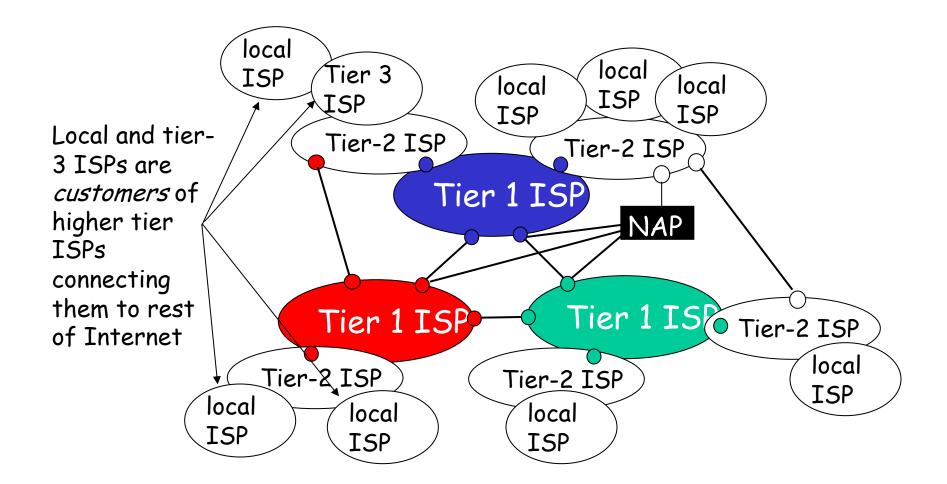
#### http://en.wikipedia.org/wiki/Tier\_1\_network

Tier-2" ISPs: smaller (often regional) ISPs
 Connect to one or more tier-1 ISPs, possibly other tier-2 ISPs

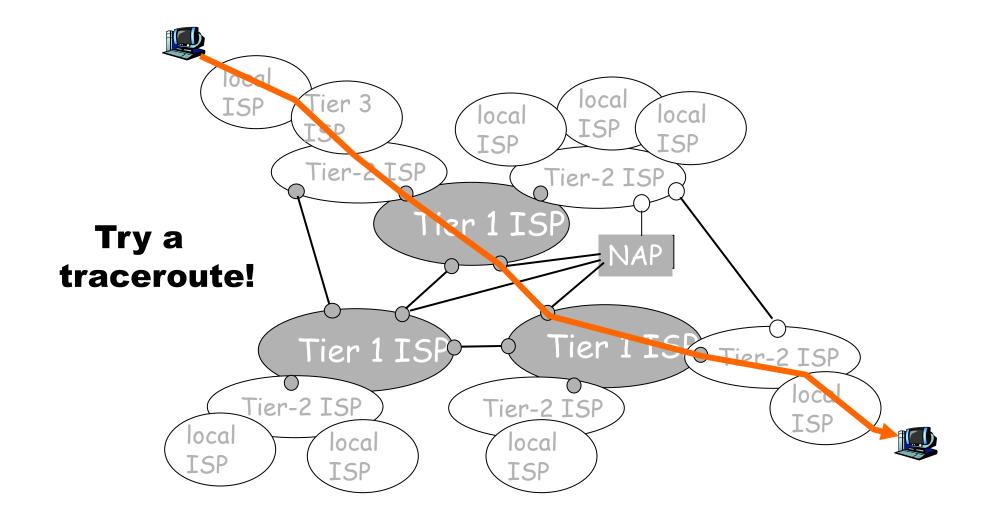


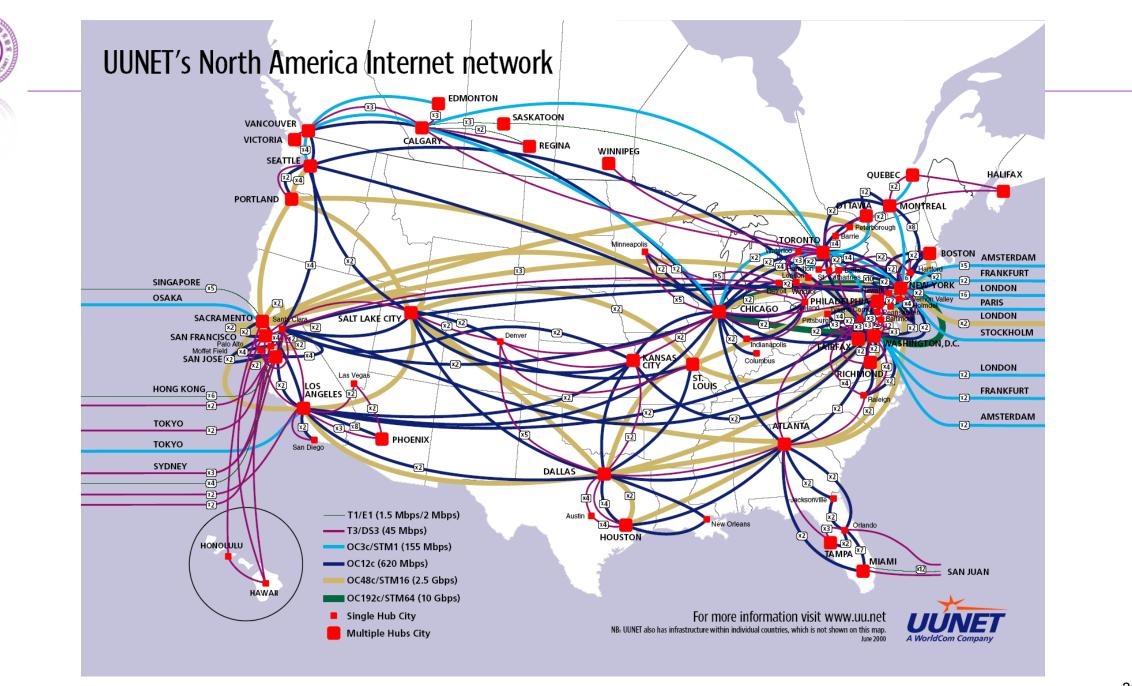
### □ "Tier-3" ISPs and local ISPs

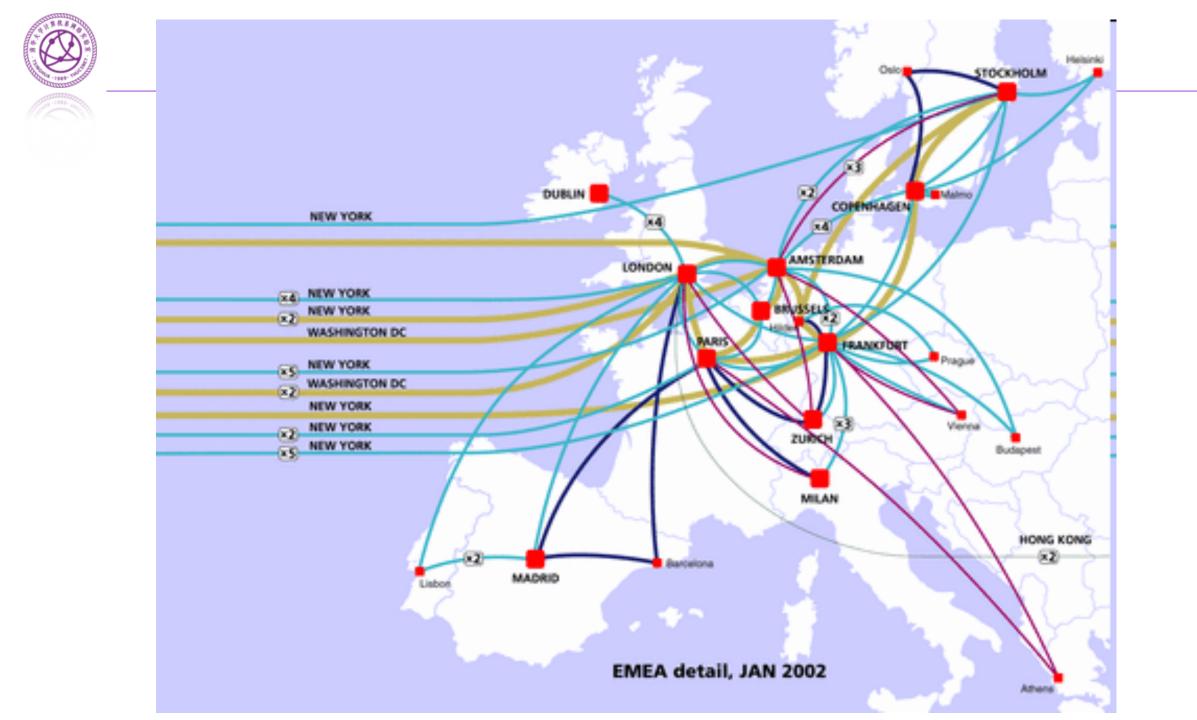
last hop ("access") network (closest to end systems)

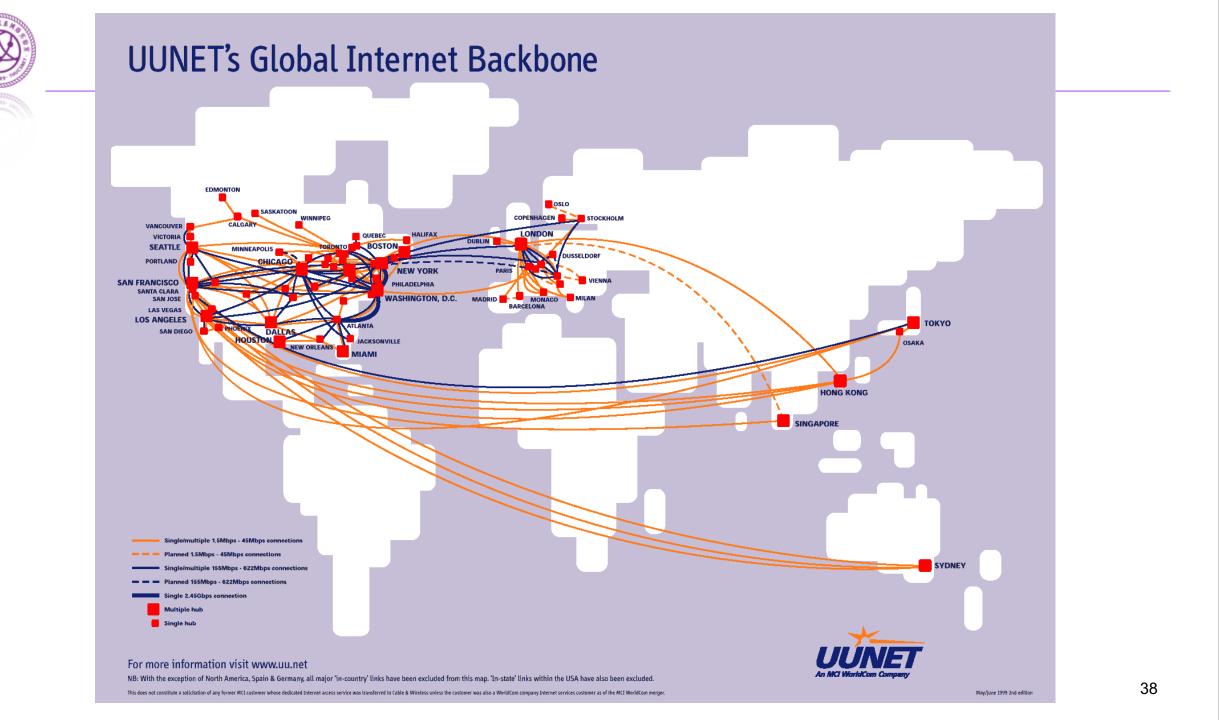


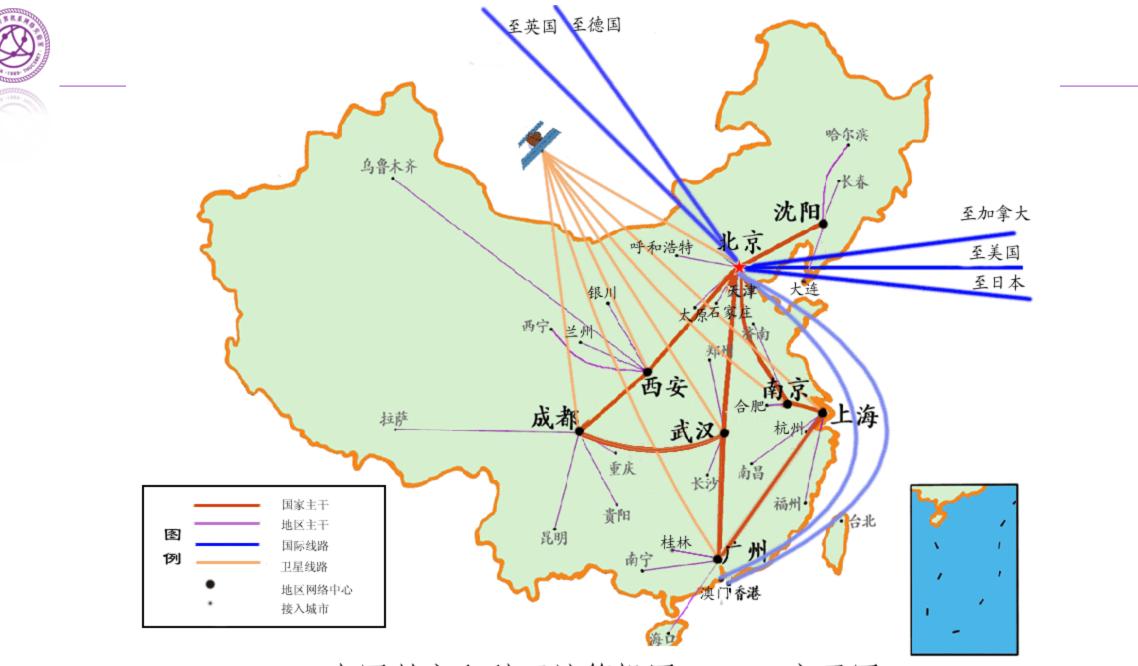
□ a packet passes through many networks!



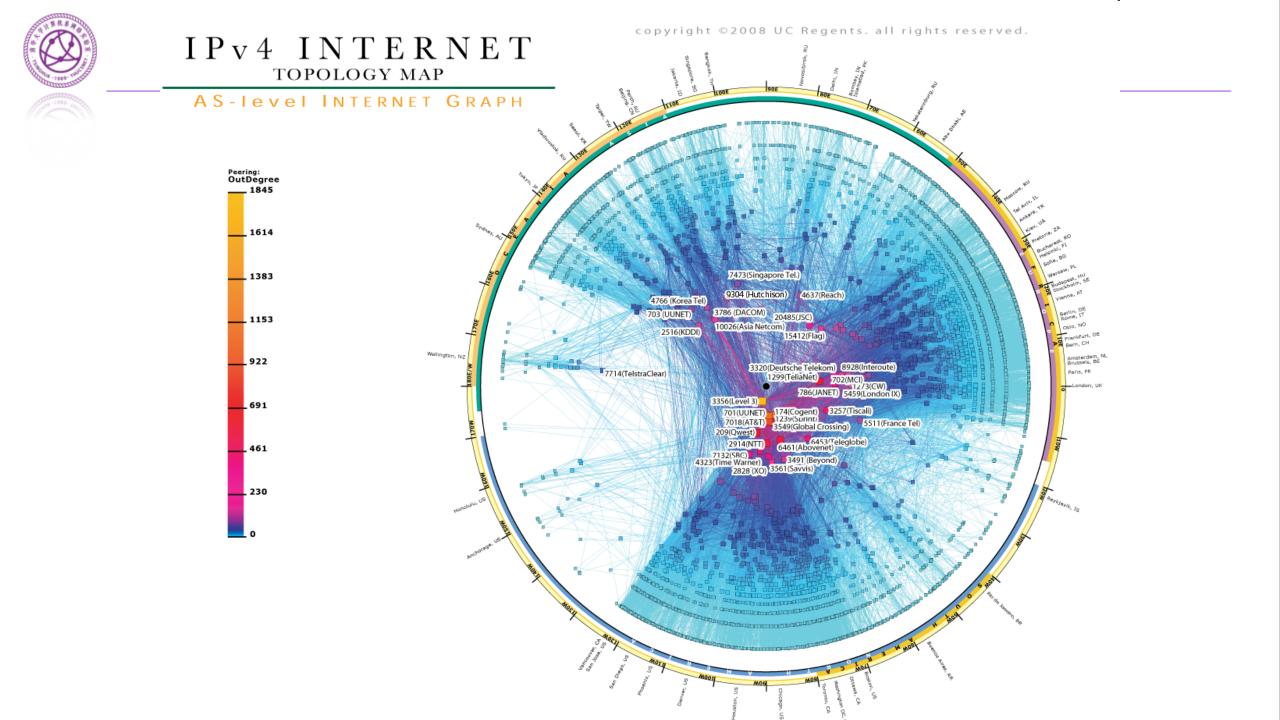








中国教育和科研计算机网 CERNET 主干网





#### □ Internet简介

- □<u>协议分层和OSI参考模型</u>
- End-to-End Arguments
- □ Internet设计原则



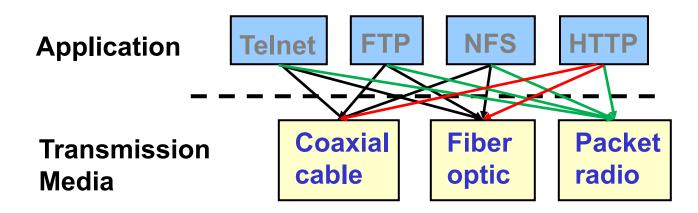
# 问题是什么?

Many different network styles and technologies

- o circuit-switched vs packet-switched, etc.
- wireless vs wired vs optical, etc.
- Many different applications
  - o ftp, email, web, P2P, etc.
- How do we organize this mess?





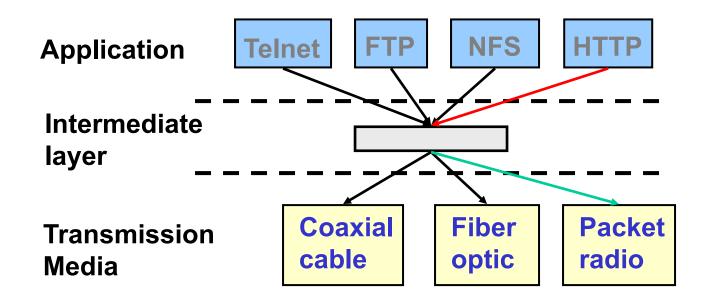


- Do we re-implement every application for every technology?
- Obviously not, but how does the Internet architecture avoid this?





Solution: introduce an intermediate layer that provides a <u>unique</u> abstraction for various network technologies





### \$系结构

Architecture is <u>not</u> the implementation itself
 Architecture is how to "organize" implementations

 what interfaces are supported
 where functionality is implemented

 Architecture is the modular design of the network



## 软件模块化

Break system into modules:

Well-defined interfaces gives flexibility

o can change implementation of modules

o can extend functionality of system by adding new modules

Interfaces hide information

- o allows for flexibility
- but can hurt performance



- 比尔·盖茨2002年4月22日在出庭作证时指出,如果将微软的IE网络浏览器剥离, 视窗的其它功能将被降级,视窗操作系统将停止运行
- □ 2003年5月, 微软和美国在线达成和解协 议, 愿意支付网景7.5亿美元







## 网络模块化

Like software modularity, but with a twist:

- Implementation distributed across routers and hosts
- Must decide both:
  - o how to break system into modules
  - where modules are implemented
- Lecture will address these questions in turn

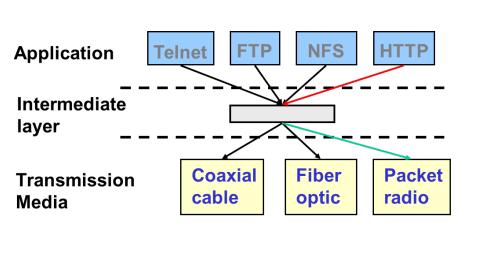
# 体系结构的两个方面

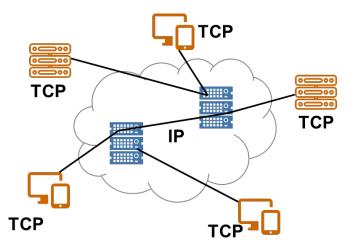
Layering

o how to break network functionality into modules

#### The End-to-End Argument

• where to implement functionality





Layering

**End-to-End** 



Layering is a particular form of modularization

The system is broken into a vertical hierarchy of logically distinct entities (layers)

The service provided by one layer is based solely on the service provided by layer below



#### Advantages

- Modularity protocols easier to manage and maintain
- Abstract functionality –lower layer can be changed <u>without</u> affecting the upper layer
- Reuse upper layer can reuse the functionality provided by lower layer

#### Disadvantages

O Information hiding – inefficient implementations



- Layer N software on the destination computer <u>must</u> receive <u>exactly</u> the message sent by layer N software on the sending computer
- Mathematically, if the sender applies a transformation T, the receiver must apply the inverse T<sup>-1</sup>
- □ Recall the concept of <u>"protocol"</u>

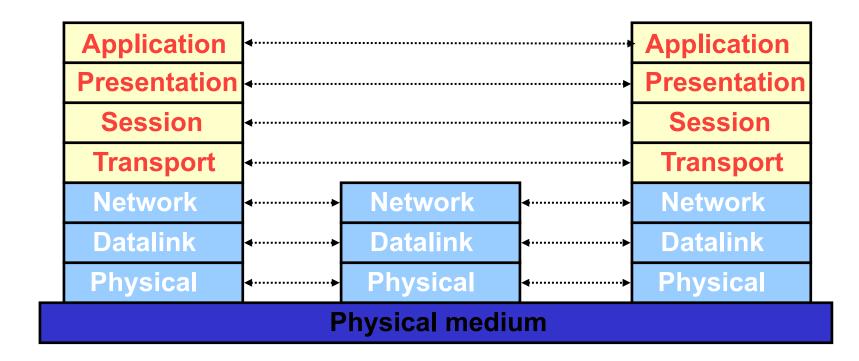
# ISO OSI Reference Model

- ISO International Standard Organization
- OSI Open System Interconnection
- Started to 1978; first standard 1979
  - ARPANET started in 1969; TCP/IP protocols ready by 1974
- □ Goal: a general <u>open</u> standard
  - Allow vendors to enter the market by using their own implementation and protocols

# ISO OSI Reference Model

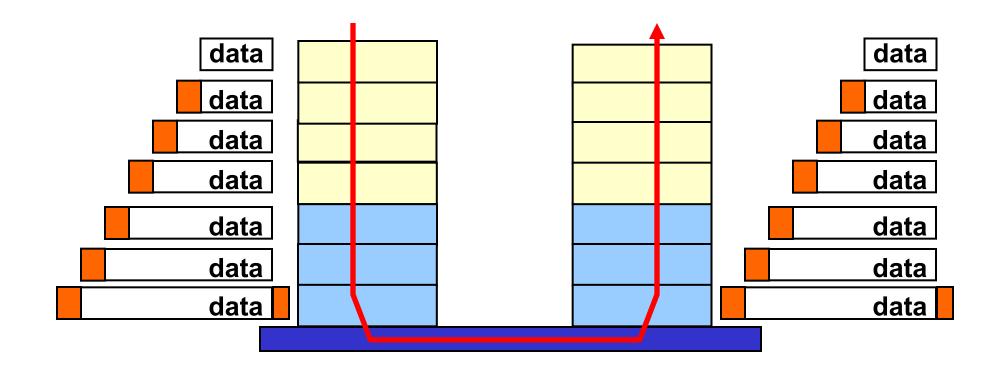
Seven layers

- Lower three layers are peer-to-peer
- Next four layers are end-to-end



# Data Transmission

- A layer can use <u>only</u> the service provided by the layer immediate below it
- Each layer may change and add a header to data packet



## **OSI Model Concepts**

- □ Service says <u>what</u> a layer does
- □ Interface says <u>how</u> to <u>access</u> the service
- □ Protocol says <u>how</u> is the service <u>implemented</u>
  - A set of rules and formats that govern the communication between two peers
  - protocol does not govern the implementation on a single machine, but how the layer is implemented between machines

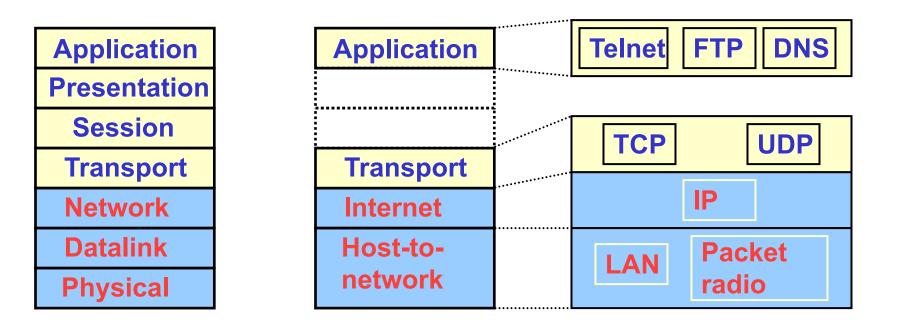
# The Origins of OSI

- http://williamstallings.com/Extras/OSI.html
- Much of the work on the design of OSI was actually done by a group at Honeywell Information Systems
  - Charlie Bachman as the principal technical member
- The group studied some of the existing solutions, including IBM's system network architecture (SNA), the work on protocols being done for ARPANET, the result of this effort was the development by 1977 of a seven-layer architecture known internally as the distributed systems architecture (DSA)
- In 1977, ISO formed a subcommittee on Open Systems Interconnection (Technical Committee 97, Subcommittee 16)
- This model was chosen as the only proposal to be submitted to the ISO subcommittee
- A consensus was reached at that meeting that this layered architecture would satisfy most requirements of Open Systems Interconnection, and had the capacity of being expanded later to meet new requirements. A provisional version of the model was published in March of 1978
- The next version, with some minor refinements, was published in June of 1979 and eventually standardized
- The resulting OSI model is essentially the same as the DSA model developed in 1977



# OSI vs. TCP/IP

OSI: conceptually define: service, interface, protocol
Internet: provide a successful implementation





### OSI模型的问题

- □ Andrew S. Tanenbaum 在 "Computer Networks"第三版中评 价OSI:
  - Bad timing (too late)
  - Bad technology (both the model and the protocol are flawed)
  - Bad implementations (huge, unwieldy, and slow)
  - Bad politics (government and organizations bureaucrats)
- □ Internet 标准化名言 (David Clark of MIT):
  - "We reject kings, presidents, and voting; we believe in rough consensus and running code"





#### How do you divide functionality across the layers?



#### □ Internet简介

□协议分层和OSI参考模型

□ <u>End-to-End Arguments</u>

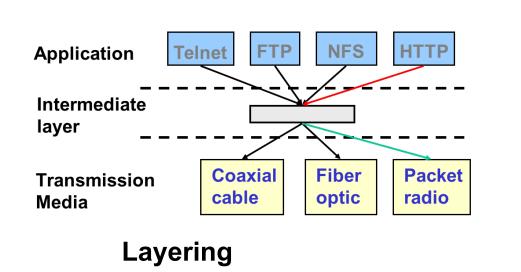
□ Internet设计原则

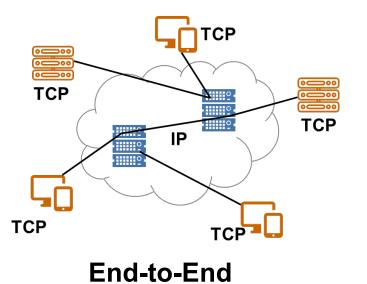
# 体系结构的两个方面

Layering

o how to break network functionality into modules

# The End-to-End Argument where to implement functionality





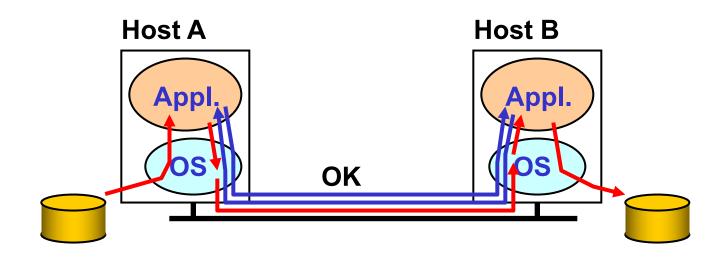


- Think <u>twice</u> before implementing a functionality that you believe that is useful to an application at a lower layer
- If the application can implement a functionality correctly, implement it at a lower layer only as a performance enhancement
- 口"核心简单,边缘复杂"





## Example: Reliable File Transfer

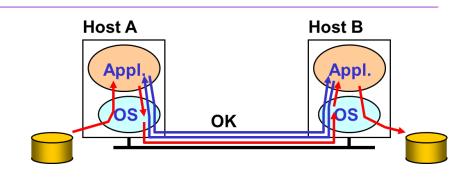


Solution 1: make each step reliable, and then concatenate them

□ <u>Solution 2</u>: end-to-end check and retry



### Discussion



#### □ Solution 1 is *not* complete

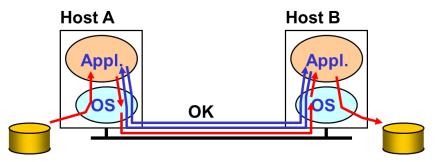
- What happens if any network element misbehaves?
- The receiver has to do the check anyway!

#### Solution 2 is complete

- Full functionality can be entirely implemented at application layer with *no* need for reliability from lower layers
- Is there any need to implement reliability at lower layers?



### Discussion



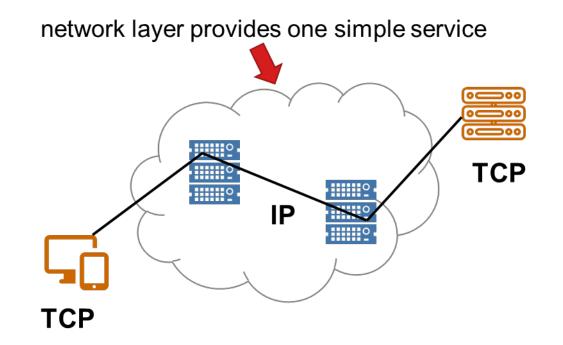
# Q: Is there any reason to implement reliability at lower layers?

- <u>A: YES</u>. "easier" (and more efficient) to check and recovery from errors at each intermediate hop
  - e.g: faster response to errors, localized retransmissions



- application has more information about the data and semantics of required service (e.g., can check only at the end of each data unit)
- Iower layer has more information about constraints in data transmission (e.g., packet size, error rate)
- □ <u>Note</u>: these trade-offs are a direct result of layering!

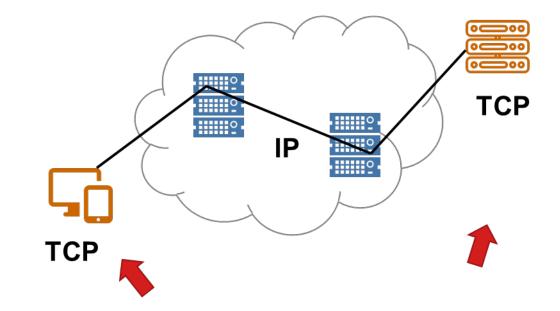




### network layer provides one simple service:

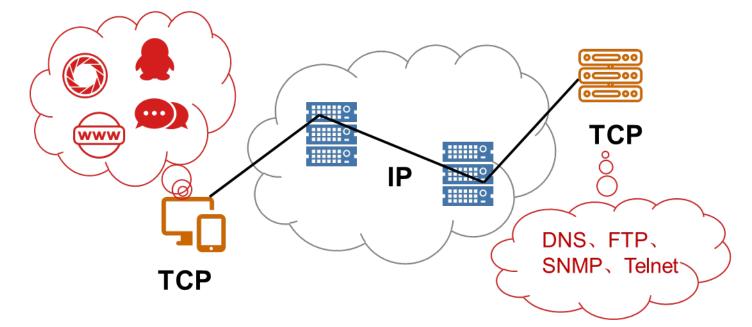
o <u>best effort datagram (packet) delivery</u>





transport layer at network edge (TCP) provides end-to-end error control





□ all other functionality ...

- all application layer functionality
- o network services: DNS

implemented at *application* level

<u>Discussion</u>: congestion control, flow control: why at transport, rather than link or application layers?

- congestion control needed for many application
- many applications "don't care" about congestion control it's the network's concern
- consistency across applications- you \*have\* to use it if you use TCP (social contract everybody does)
- why do it at the application level
  - Flow control application knows how/when it wants to consume data
  - <u>Congestion control</u> application can do tcp-friendly



Why not at the link layer

- □ 1: not every application needs/want it
- 2: lots of state at each router (each connection needs to buffer, need back pressure) - it's hard

### E2E Argument: Interpretations

#### One interpretation:

- A function can only be completely and correctly implemented with the knowledge and help of the applications <u>standing at</u> <u>the communication endpoints</u>
- □ Another: (more precise...)
  - a system (or subsystem level) should consider only functions that can be <u>completely and correctly</u> implemented within it

### E2E Argument: Interpretations

□ Alternative interpretation: (also correct ...)

- Think twice before implementing a functionality that you believe that is useful to an application at a lower layer
- If the application can implement a functionality correctly, implement it a lower layer <u>only</u> as a performance enhancement

### End-to-End Argument: Critical Issues

end-to-end principle emphasizes:

- function placement
- correctness, completeness
- overall system costs
- Philosophy: if application can do it, don't do it at a lower layer -- application best knows what it needs
  - o add functionality in lower layers iff
  - (1) used by and improves performances of many applications
  - (2) does not hurt other applications
- □ allows <u>cost-performance</u> tradeoff



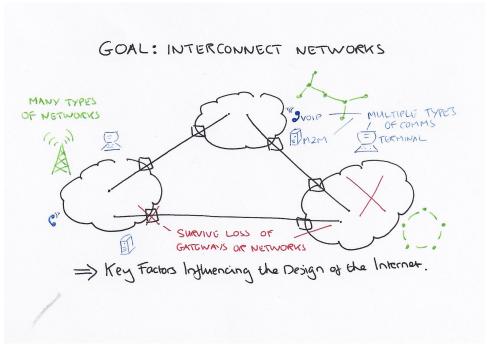
### □ Internet简介

- □协议分层和OSI参考模型
- End-to-End Arguments
- □ Internet设计原则

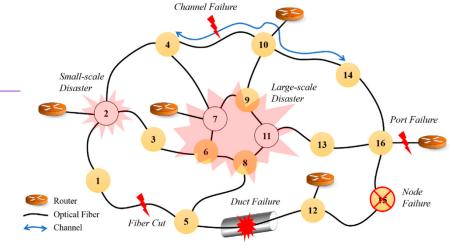
### Internet Design Philosophy (Clark'88)

#### In order of importance:

- 0. Connect existing networks
  - initially ARPANET, ARPA packet radio, packet satellite network
- 1. Survivability
  - ensure communication service even with network and router failures
- 2. Support multiple types of services
- 3. Must accommodate a variety of networks
- 4. Allow distributed management
- 5. Allow host attachment with a low level of effort
- 6. Be cost effective
- 7. Allow resource accountability



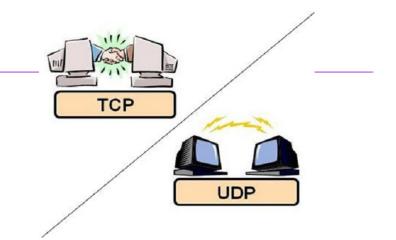




- Continue to operate even in the presence of network failures (e.g., link and router failures)
  - as long as network is not partitioned, two endpoints should be able to communicate
  - any other failure (excepting network partition) should be transparent to endpoints
- Decision: maintain e-e transport state only at end-points
  - eliminate the problem of handling state inconsistency and performing state restoration when router fails
- Internet: stateless network-layer architecture
  - No notion of a session/call at network layer
- 🗆 Grade: A-
  - routing algorithm failover path is non-optimal, non-traffic sensitive (Note: ISPs worry about this)



### 2. Types of Services



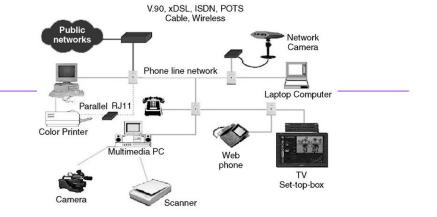
- add UDP to TCP to better support other apps
  - e.g., "real-time" applications
- arguably main reason for separating TCP, IP
- datagram abstraction: lower common denominator on which other services can be built
  - service differentiation was considered (remember ToS?), but this has never happened on the large scale (Why?)

□ Grade: AB

- Need something (reliability) between TCP and UDP? Why not just build on top of UDP
- Need time sensitivity for multimedia application
- Need a quality of service notion: give me throughput X or give me a busy signal (this is what ATM is/was advocating)



### 3. Variety of Networks



- Very successful (why?)
  - because the minimalist service; it requires from underlying network only to deliver a packet with a "reasonable" probability of success
- ...does not require:
  - reliability
  - in-order delivery
- □ The mantra: IP over everything
  - Then: ARPANET, X.25, DARPA satellite network..
  - Now: ATM, SONET, WDM...
- 🗆 Grade: A
  - because it runs over everything



#### Allow distributed management

- Administrative autonomy: IP interconnects networks
  - each network can be managed by a different organization
  - different organizations need to interact only at the boundaries
  - ... but this model complicates routing
- Grade: B
- Why: some stuff managed centrally: DNS, IP address allocation (but it's not that much)
- Today's distributed management makes it easy for misconfigurations or malicious users to corrupt infrastrcuture (e.g., AT&T routing black hole)

# Other Goals

#### □ Cost effective

- sources of inefficiency
  - header overhead
  - retransmissions
  - routing
- ...but "optimal" performance never been top priority
- Grade: AB (500 million people can't be wrong!)



#### □ Low cost of attaching a new host

- o not a strong point → higher than other architecture because the intelligence is in hosts (e.g., telephone vs. computer)
- bad implementations or malicious users can produce considerably harm
- Grade: B
  - Leverages low cost of end-system hardware (Ethernet NICs ~ \$20), DHCP makes self configuration easy
  - Very hard to debug problems

□ Accountability

• Grade: F

## What About the Future

Datagram not the best abstraction for:

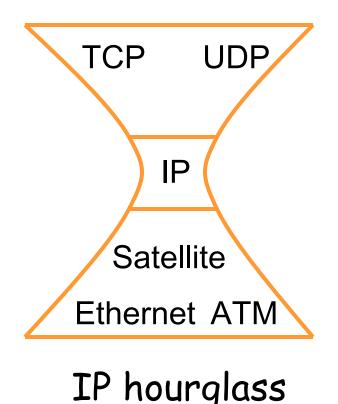
- resource management, accountability, QoS
- new abstraction: flow (see IPv6)
  - but no one knows what a flow is
- routers require to maintain per-flow state
- □ state management: recovering lost state is hard
- □ here (1988) we see the first proposal of "soft state"!

• soft-state: end-hosts responsible to maintain the state



### Summary: Internet Architecture

- packet-switched datagram network
- IP is the glue (network layer overlay)
- IP hourglass architecture
   all hosts and routers run IP
   stateless architecture
  - o no per flow state inside network



### Summary: Minimalist Approach

#### Dumb network

- IP provide minimal functionalities to support connectivity
- addressing, forwarding, routing

#### □ Smart end system

- transport layer or application performs more sophisticated functionalities
- flow control, error control, congestion control

#### Advantages

- accommodate heterogeneous technologies (Ethernet, modem, satellite, wireless)
- support diverse applications (telnet, ftp, Web, X windows)
- decentralized network administration







□ 徐恪 徐明伟 等 **"高级计算机网络"**,清华大学出版社

□ 徐恪 任丰原 等 "计算机网络体系结构-设计、建模、分析与优化",清华 大学出版社